SEP 2 2 2003

1104628-0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Koziel et al.

Serial No.

: 09/988,462

Filed

: November 20, 2001

For

: Synthetic DNA Sequence Having Enhanced

Insecticidal Activity in Maize

Examiner

: McElwain, Elizabeth

Group Art Unit

: 1638

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to:

Assistant Commissioner for Patents

P.O Box 1450, Alexandria, VA 22313-1450

John Scheibeler

35,346

Name

PTO Reg. No.

Signature

Date of Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING OF A FIRST OFFICE ACTION

Group 1638 Asssistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit this Information Disclosure Statement ("IDS") and the attached Form PTO-1449 in compliance with 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each of the documents listed on the accompanying Form PTO-1449 is submitted herewith.

Serial No. 09/988,462, filed November 20, 2001

Docket No: 1104628-0016

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The IDS is submitted to provide the Examiner with additional information arising out of a patent infringement litigation entitled, Syngenta Seeds, Inc. v. Monsanto Company; DeKalb Genetics Corp.; Pioneer Hi-Bred International, Inc.; Dow Agrgosciences, LLC and Mycogen Plant Science, Inc. and Agrigenetics, Inc., C.A. No. 02-1331 (D. Del.).

This submission should not be misconstrued as an acknowledgement by applicants or the undersigned that any of the submitted information is material or qualifies as prior art.

This IDS is being filed before the mailing date of a first Office Action on the merits according to 37 C.F.R. §1.97(b)(3). Therefore, no fees are due in connection with this filing. However, should any fees be deemed necessary in connection with this filing, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 23-1703.

Dated: 9 16 03

Respectfully submitted,

John Scheibeler

Reg. No. 35,346

Attorney for Applicants

Customer No. 007470

White & Case LLP

Direct Dial: (212) 819-8830

SEP 2 2 2003

FORM PTO-	49
FORM PTO-1/4 (REV., 2-821)	

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Atty. Docket No. 1104628-0016	Serial No. 09/988,462
Applicants: Koziel et al.	
Filing Date: November 20, 2001	Group: 1638

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub- Class	Filing Date, if Appropriate
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FOREIGN PATENT DOCUMENTS

*Examiner Initial	Document No.).		Date	Country	Class	Sub-Class	Transl Yes	ation No
	3	6	5	6	8	8_	9	21 Dec 1989	Australia				
	4	6	8	8	1	8	9	21 Jun 1988	Australia				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Motion of Defendant Dow Agrosciences LLC, Mycogen Plant Science, Inc. and Agrigenetics, Inc. For Leave to Amend Its Answer
Motion for Leave to File Second Amended Answer and Counterclaims, by Defendants Monsanto Company and DeKalb Genetics Corp.
Pioneer Hi-Bred International, Inc.'s Motion to Further Amend Its Answer, Affirmative Defenses and Counterclaims

Examiner:			Date	Considered

^{*}Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.